

## Hopcroft-Karp algorithm for matching in bipartite graphs

Let  $G = (V_1, V_2, E)$  be a bipartite graph. Let  $M$  be a matching in  $G$ .

### Constructing a shortest paths DAG

The algorithm below constructs a layered DAG  $H$  such that  $i$  is the shortest path distance from the source to all the vertices in layer  $i$ . It also computes for each vertex  $u$ , except those at layer 0, the number of incoming edges to  $u$ . This construction is done using a modified breadth-first traversal of  $G$ . Let  $L_i$  denote the vertices in layer  $i$ .

1. Add all free vertices from  $V_1$  to  $L_0$ .
2.  $i = 0$ .
3. Repeat Until all vertices have been classified or a layer with free vertices of  $V_2$  is found:
  - (a) For all  $u \in L_i$ 
    - i. For all  $w$  adjacent to  $u$  using an unmatched edge do
      - A. If  $w$  is not from an earlier layer add  $w$  to  $L_{i+1}$  if it is not already included.
      - B.  $\text{indegree}(w) \leftarrow \text{indegree}(w) + 1$ .
  - (b) (All vertices in  $L_{i+1}$  are from  $V_2$ .)  
If any of the vertex in  $L_{i+1}$  is a free vertex in  $V_2$  then
    - i. Delete all matched vertices from  $L_{i+1}$ .
    - ii. Let  $t = i + 1$ .
    - iii. Go to Augment Stage.
  - (c) (None of the vertices in  $L_{i+1}$  are free vertices of  $V_2$ .)  
For all  $u \in L_{i+1}$ 
    - i. For  $w$  that is adjacent to  $u$  using a matched edge do
      - A. If  $w$  is not from an earlier layer add  $w$  to  $L_{i+2}$  if it is not already included.
  - (d)  $i \leftarrow i + 2$ .
4. No augmenting paths in  $G$  with respect to  $M$  and hence  $M$  is maximum.

### Finding augmenting paths in the shortest paths DAG $H$

The DAG  $H$  is a layered graph with layer 0 consisting of free vertices from  $V_1$  and layer  $t$  consisting of free vertices from  $V_2$ . The algorithm below constructs a set  $\mathcal{P}$  of vertex-disjoint minimum length augmenting paths.

(If there is a vertex  $u$  in  $L_t$  then there is a path (an augmenting path) from some vertex in  $L_0$  to  $u$ . If there is a vertex  $w$  in  $L_0$  it is not guaranteed that there is a path from  $w$  to a vertex in  $L_t$ . Hence, to find an augmenting path in  $H$ , we start from a vertex in  $L_t$  and trace back. )

1. While there is a vertex  $u$  in  $L_t$  do:
  - (a) Trace backwards from  $u$  to a free vertex in  $L_0$  to obtain an augmenting path; place this path in the set  $\mathcal{P}$ .
  - (b) Place all the vertices along this path on a deletion queue.
  - (c) While the deletion queue is non-empty do:
    - i. Remove a vertex and all its outgoing edges.
    - ii. Whenever an edge  $(u, w)$  in  $H$  is deleted,  $\text{indegree}(w)$  is decremented. (This is because from  $w$  we cannot trace back to  $u$  anymore since  $u$  is deleted.) If  $\text{indegree}(w)$  becomes 0 then place  $w$  on the deletion queue.

### Time taken by this algorithm

We will use the following two lemmas:

**Lemma 1:** The length of the shortest augmenting path increases in each phase.

(Proof omitted)

**Lemma 2:** Let  $M$  be a matching that is not maximum. Let  $M^*$  be a maximum matching. Let  $|M^*| - |M| = k$ . Then, there are  $k$  vertex-disjoint augmenting paths in  $M^* \oplus M$ .

**Proof:**

- Observe that in  $M^* \oplus M$ , the number of  $M^*$ -edges is  $k$  more than the the number of  $M$ -edges.

In  $M^* \oplus M$ , the number of  $M^*$ -edges is  $|M^* - M|$  and the number of  $M$ -edges is  $|M - M^*|$ . We have,

$$\begin{aligned} |M^* - M| &= |M^*| - |M^* \cap M| \\ &= |M| + k - |M^* \cap M| \\ &= |M - M^*| + k. \end{aligned}$$

- The edges in  $M^* \oplus M$  can be classified as one or more of the following categories with edges alternating from  $M^*$  and  $M$ : (a) even length cycles, (b) even-length paths and odd-length paths.

No vertex can have more than two incident edges from  $M^* \oplus M$ .

- Note that no odd-length path in  $M^* \oplus M$  can start (and hence end with) an edge from  $M$ .

Such a path would be an augmenting path with respect to  $M^*$ . But,  $M^*$  is a maximum matching.

- Note that the collection of all odd-length paths are vertex-disjoint augmenting paths with respect to  $M$  each contributing one more  $M^*$ -edge than  $M$ -edge. Since there are  $k$  more  $M^*$ -edges than  $M$ -edges, the number of such augmenting paths must be  $k$ .

**Claim:** The running time for this algorithm is  $O(\sqrt{nm})$ .

**Proof:** First note that each phase can be executed in  $O(m)$  time. It remains to show that the number of phases is  $O(\sqrt{n})$ .

Let  $M$  be the matching after  $\sqrt{n}$  phases. Suppose  $M$  is not maximum. Let  $M^*$  be a maximum matching. By Lemma 2,  $M^* \oplus M$  has  $|M^*| - |M|$  vertex-disjoint augmenting paths. By Lemma 1, each of these augmenting paths has length  $\geq 2\sqrt{n} + 1$ . Therefore, the number of augmenting paths in  $M^* \oplus M$  is  $\leq \frac{\sqrt{n}}{2}$ . (This is because the number of vertices is  $n$ .) Each phase increases the size of the matching by at least 1. Therefore, there are at most  $\frac{\sqrt{n}}{2}$  more phases before a maximum matching is computed. Hence, there are at most  $O(\sqrt{n})$  phases.